

# CETP inhibition with obicetrapib remodels HDL<sub>2</sub>, and increases apoM, S1P, and monomeric-apoA-I resulting in significant increases in xanthophyll and carotenoid levels in plasma

Eric J. Niesor<sup>1</sup>, Marc Ditmarsch<sup>2</sup>, Serge Rezzi<sup>3</sup>, Andrew Hodgson<sup>3</sup>, Pablo Jaeggi<sup>3</sup>, Mathijs A.C. de Kleer<sup>2</sup>, John J.P. Kastelein<sup>2</sup>

<sup>1</sup> Hartis Pharma, Nyon, Switzerland, <sup>2</sup> NewAmsterdam Pharma, Naarden, Netherlands, <sup>3</sup> Swiss Nutrition and Health Foundation, Epalinges, Switzerland

## Background

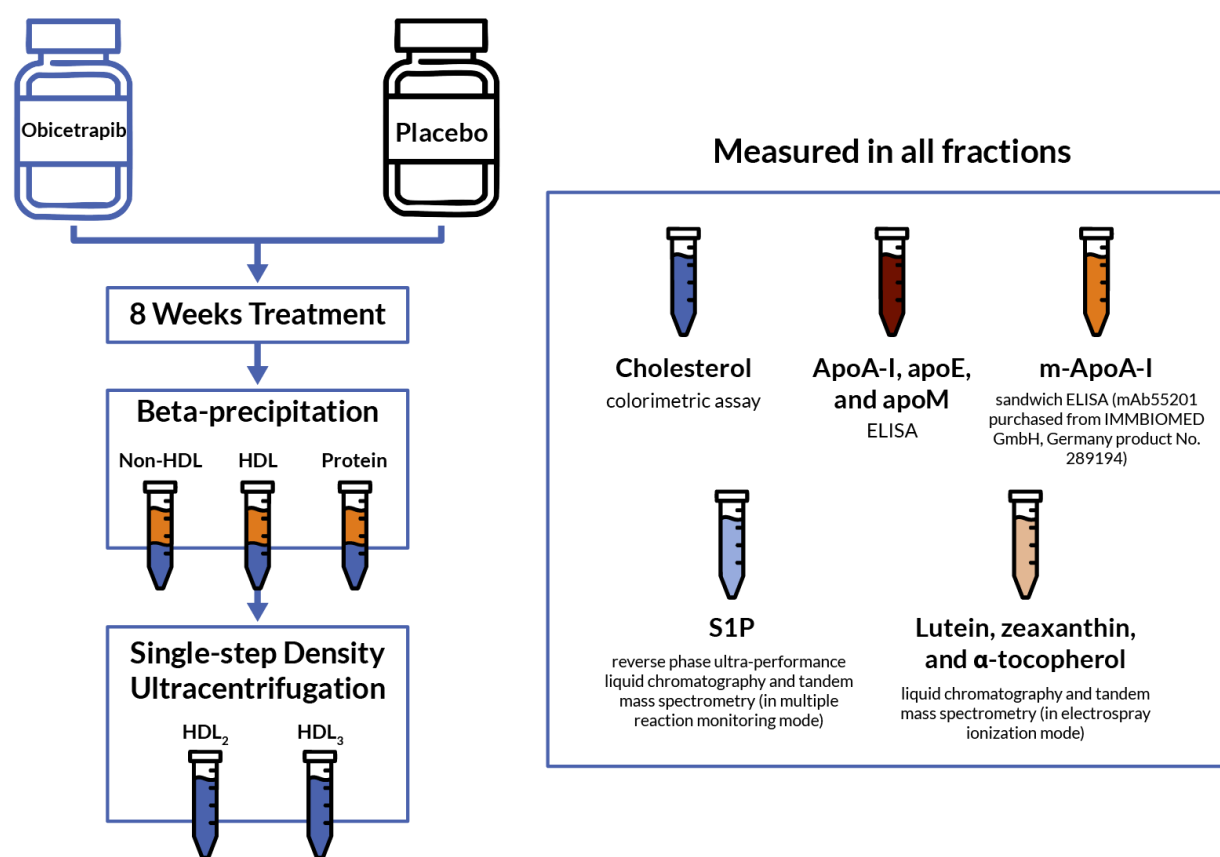
- Multiple studies have shown that low CETP activity and CETP inhibition potentially reduce the risk of dementia (1-3).
- The hypothesized mechanism of action centers on HDL associated compounds such as xanthophylls, lutein and zeaxanthin, and on the expression of apoM (S1P carrier) and S1P (signaling molecule with downstream effects on neovascularization and endothelial cell function), which are transported to the brain by HDL particles, particularly by non-lipidated apoA-I (pre-beta-1 HDL or m-apoA-I) (4-10).
- Obicetrapib, a next generation CETP inhibitor in phase 3 clinical development, dramatically increases HDL cholesterol, HDL particle concentration, and apoA-I (11,12).
- A preliminary investigation demonstrated that CETP inhibition with obicetrapib increased pre-beta-1 HDL and lipophilic antioxidants (lutein, zeaxanthin, and  $\alpha$ -tocopherol) (13), and a sub-study analysis of the phase 3 BROADWAY trial indicated that obicetrapib slowed Alzheimer's disease biomarker progression in patients with ASCVD (14).
- However, the impact of obicetrapib on HDL remodeling (see Figure 2), including the concentrations of m-apoA-I, antioxidants, apoM, and S1P in the more lipid-rich HDL<sub>2</sub> subfraction, were not assessed and were the focus of this investigation.

## Objective

In order to understand the effect of potent CETP inhibition on HDL metabolism, and the consequential potential benefits for ASCVD and Alzheimer's disease, we aimed to evaluate the effect of low dose 2.5mg obicetrapib on the amount of lipophilic antioxidants transported by HDL and the concentrations of several plasma components known to bind to HDL, including apoA-I, m-apoA-I, apoE, apoM, and S1P.

## Methods

**Figure 1.** Study design.



We analyzed these in samples from a Phase 2 clinical trial registered at ClinicalTrials.gov: NCT05421078. Participants included Japanese men and women with documented LDL cholesterol >70 mg/dL (or non-HDL cholesterol >100 mg/dL), while treated with stable atorvastatin 10 or 20 mg/d or rosuvastatin 5 or 10 mg/d. They received placebo or obicetrapib 2.5, 5, or 10 mg/d for 8 weeks.

**Table 1.** Baseline characteristics of the subset of participants in the placebo and obicetrapib 2.5 mg groups from the dose-ranging phase 2 clinical trial of 8 weeks obicetrapib (15).

	Placebo (n=7)	Obicetrapib 2.5 mg (n=13)
Age, y, mean (SD)	66 (7)	66 (10)
Female sex, n (%)	1 (14.3)	6 (46.2)
Body mass index, kg/m <sup>2</sup> , mean (SD)	25.9 (3.7)	24.9 (3.6)
Statin therapy, n (%)		
Atorvastatin 10 mg or Rosuvastatin 5 mg	4 (57.1)	12 (92.3)
Atorvastatin 20mg or Rosuvastatin 10 mg	3 (42.9)	1 (7.7)

## References

- Nordestgaard LT, et al. Cholesterol-lowering drug targets reduce risk of dementia: Mendelian randomization and meta-analyses of 1 million individuals. *Alzheimers Dement.* 2025;21:e70638.
- Schmidt AF, et al. Lower activity of cholesteryl ester transfer protein (CETP) and the risk of dementia: a Mendelian randomization analysis. *Alzheimers Res Ther.* 2024;16:228.
- Poliakova T, et al. Roles of peripheral lipoproteins and cholesteryl ester transfer protein in the vascular contributions to cognitive impairment and dementia. *Mol Neurodegener.* 2023;18:86.
- Oram JF, et al. ABCA1. The gatekeeper for eliminating excess tissue cholesterol. *J Lipid Res.* 2001;42:1173-9.
- Oram JF, et al. ATP-binding cassette transporter A1 mediates cellular secretion of alpha-tocopherol. *J Biol Chem.* 2001;276:39898-902.
- Castro GR, et al. Early incorporation of cell-derived cholesterol into pre-beta migrating high-density lipoprotein. *Biochemistry.* 1988;27:25-9.
- Niesor EJ. Will lipidation of apoA-I through interaction with ABCA1 at the intestinal level affect the protective functions of HDL? *Biology (Basel).* 2015;4:17-38.
- Flieger J, et al. Carotenoid supplementation for alleviating the symptoms of Alzheimer's disease. *Int J Mol Sci.* 2024;25:8982.
- Cheng G, et al. Regulation of the apolipoprotein M signaling pathway: a review. *J Recept Signal Transduct Res.* 2022;42:285-92.
- Dorweiler TF, et al. Apolipoprotein M: Structural insights, functional roles and therapeutic approaches in vascular disease. *J Biol Chem.* 2026;111335;Epub ahead of print.
- Nicholls SJ, et al. Safety and efficacy of obicetrapib in patients at high cardiovascular risk. *N Engl J Med.* 2025;393:51-61.
- Nicholls SJ, et al. Obicetrapib in patients with heterozygous familial hypercholesterolemia: the BROOKLYN randomized clinical trial. *Nat Med.* 2026;32:1052-60.
- Niesor EJ, et al. Obicetrapib treatment increases prebeta1 HDL and lipophilic antioxidants in the OCEAN and ROSE2 studies. *Atherosclerosis.* 2024;395 (Suppl 1). Abstract 118350.
- Davidson MH, et al. Effect of obicetrapib, a potent cholesteryl ester transfer protein inhibitor, on p-tau2017 levels in patients with cardiovascular disease. *J Prev Alzheimers Dis.* 2026;13:100394.
- Harada-Shiba M, et al. Obicetrapib as an adjunct to stable statin therapy in Japanese subjects: Results from a randomized phase 2 trial. *J Atheroscler Thromb.* 2024;31:1386-97.
- Niesor EJ, et al. Obicetrapib significantly increases plasma and high-density lipoprotein (HDL) levels of lipophilic antioxidants. *Atherosclerosis.* 2025;407 (Suppl). Abstract 119560.

## Author Disclosures

EJN, Principal and Employee, Swiss Nutrition and Health Foundation, MD, MACdK, JJPK, Employees and Shareholders of NewAmsterdam Pharma, SR, PJ, AH, Employees of Hartis Pharma.

## Abbreviations

ABCA1, ATP-binding cassette transporter A1; apo, apolipoprotein; ASCVD, atherosclerotic cardiovascular disease; CETP, cholesteryl ester transfer protein; ELISA, enzyme-linked immunosorbent assay; HDL, high-density lipoprotein; LCAT, lecithin cholesterol acyltransferase; LDL, low-density lipoprotein; m-apoA-I, monomeric apolipoprotein A1; mAb, monoclonal antibody; S1P, sphingosine-1-phosphate.

## Results

- In patients treated with 2.5 mg/day obicetrapib, concentrations of ApoA-I, m-ApoA-I, ApoM, ApoE, S1P, cholesterol, lutein, zeaxanthin, and  $\alpha$ -tocopherol were significantly increased in the HDL<sub>2</sub>-C fraction (Table 2).
- These concentration shifts were not observed in the placebo group, where no significant increases were detected.

**Table 2. Obicetrapib 2.5 mg group:** Baseline and changes from baseline in the levels of apoA-I, m-apoA-I, apoM, apoE, S1P, cholesterol, and lipophilic antioxidants in the HDL<sub>2</sub> subfraction following 2 and 8 weeks of treatment (n=13).

	Baseline <sup>a</sup>	Week 2 change from baseline <sup>a</sup>	P value <sup>b</sup>	Week 8 change from baseline <sup>a</sup>	P value <sup>b</sup>
ApoA-I (mg/dL)	7.7 (4.4, 16.6)	28.0 (22.3, 32.8)	<b>0.0002</b>	39.6 (26.6, 43.9)	<b>0.0002</b>
m-ApoA-I ( $\mu$ g/mL)	15.6 (11.0, 26.0)	67.2 (59.6, 78.0)	<b>0.0002</b>	79.2 (52.1, 95.5)	<b>0.0002</b>
ApoM ( $\mu$ g/mL)	900 (660, 1530)	3220 (1820, 4370)	<b>0.0002</b>	5620 (3300, 7430)	<b>0.0002</b>
ApoE ( $\mu$ g/mL)	2.4 (1.8, 2.6)	2.0 (0.1, 2.6)	0.0823	3.9 (2.0, 5.1)	<b>0.0127</b>
S1P (nmol/L)	18.0 (13.2, 31.3)	51.7 (36.1, 100.8)	<b>0.0002</b>	114.0 (90.8, 142.5)	<b>0.0002</b>
Cholesterol (mg/dL)	10.1 (7.9, 11.0)	15.1 (14.3, 23.8)	<b>0.0002</b>	31.5 (24.8, 35.7)	<b>0.0002</b>
Lutein (ng/mL)	10.5 (9.9, 25.6)	25.8 (17.5, 43.0)	<b>0.0002</b>	48.6 (30.8, 58.1)	<b>0.0005</b>
Zeaxanthin (ng/mL)	2.8 (1.9, 4.9)	5.6 (3.2, 8.5)	<b>0.0002</b>	9.7 (7.2, 14.9)	<b>0.0002</b>
$\alpha$ -Tocopherol (ng/mL)	682 (483, 848)	1562 (1134, 2142)	<b>0.0002</b>	1660 (1152, 2176)	<b>0.0002</b>

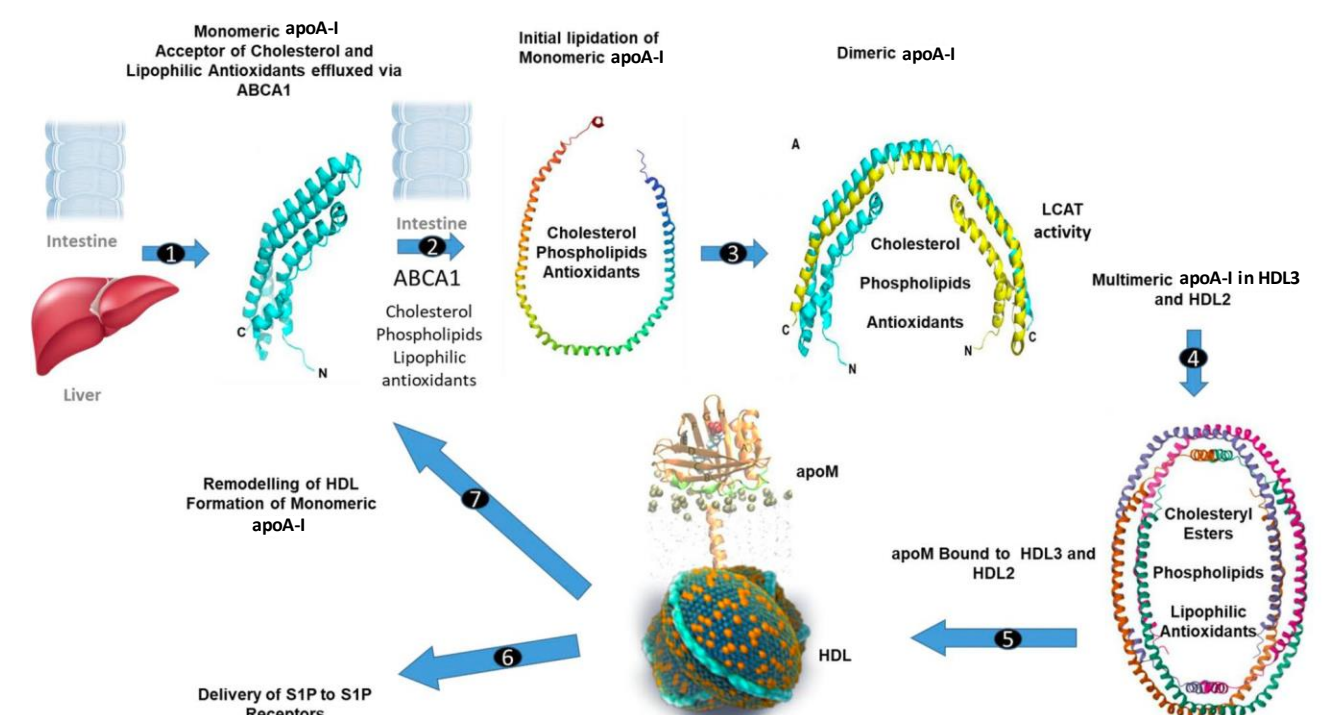
<sup>a</sup>Values are median (Q1, Q3).

<sup>b</sup>P values are from signed rank test.

## Conclusions

- CETP inhibition through obicetrapib increases HDL cholesterol, and remodels HDL particles, ultimately driving generation of m-apoA-I and enhancing antioxidant cargo.
- With obicetrapib 2.5 mg, HDL cholesterol rose, with the largest effect in the HDL<sub>2</sub> fraction, without a decrease in the other fractions. The rise in HDL<sub>2</sub> was accompanied by marked increases in apoA-I and m-apoA-I. As a result, both apoM and S1P significantly increased at 8 weeks. This coincided with significant enrichment of lutein and zeaxanthin in HDL<sub>2</sub>.
- This mechanism provides a plausible pathway for increased m-apoA-I as well as for xanthophyll delivery to the brain, with implications for prevention of neurodegenerative disease.

**Figure 2.** Cycle of HDL structure remodeling.



- ApoA-I is secreted mainly by the intestine and liver as m-apoA-I. The amino acid sequence L137 Q138 E139 K140 L141 S142 P143 L144 is recognized by mAb55201 as a beta-sheet.
- Upon lipidation via ABCA1, m-apoA-I acquires cholesterol, phospholipids, and lipophilic antioxidants.
- Lipidation leads to the formation of helix 5 including amino acid sequence L Q E K L S P L not recognized by mAb55201.
- Dimerization of apoA-I induces the formation of the LCAT binding site and enlargement of HDL as HDL<sub>3</sub> and HDL<sub>2</sub> particles.
- ApoM moves from the protein (albumin) fraction to HDL<sub>2</sub> and HDL<sub>3</sub>.
- ApoM-bound HDL delivers S1P to S1P receptor expressing cells.
- ApoM-bound HDL remodels HDL and generates m-apoA-I.

## Support

This study was funded by NewAmsterdam Pharma, Naarden, The Netherlands.

